COMMON APPLICATION FORM

Peerless MUTUAL FUND

Please refer to the instructions while filling the Application Form. Tick ☑ whichever is applicable.

1

DISTRIBUTOR / ARN CODE	Employee Unique Indentification Number (EUIN)*	SUB-BROKER CODE / AGENT CODE	DATE & TIME OF RECEIPT
ARN-90531	E086029		FOR OFFICE USE ONLY

Upfront commission shall be paid directly by the investor to the AMFI registered distributors based on the investor's assessment of various factors including the service rendered by the distributor.

*I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction".

Sole /1st Applicant/Guardian/Authorised Signatory/POA Holder | 2nd Applicant/Authorised Signatory/POA Holder | 3rd Applicant/Authorised Signatory/POA Holder

2 TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS/AGENTS ONLY (Please tick any one of the below)

☐ I confirm that I am a First Time Investor in Mutual Funds (Rs. 150/-will be deducted as transaction charges for transaction of Rs. 10,000/- and more) OR ☐ I confirm that I am an Existing Investor in Mutual Funds (Rs. 100/- will be deducted as transaction charges for transaction of Rs. 10,000/- and more)

In case the purchase/subscription amount is Rs. 10,000/- or more and your AMFI Registered Distributor has chosen 'opt in' option of charging Transaction Charges to their investor, the same are deductible as applicable from the purchase/subscription amount and payable to the distributor. Units will be issued against the balance amount invested (refer General Information Point No. 12)

3 EXISTING INVESTOR INFORMATION (Please fill in the sections 3,6,7,8,13)

Unit Holding Options ☐ Demat Mode ☐ Physical Mode Folio Number

4 DEMAT ACCOUNT DETAILS (Please ensure that the sequence of names as mentioned in the application form matches with that, of the account held in depository participant. Demat Account details are compulsory, if demat mode is opted above.)

☐ NSDL ☐ CDSL Depository Participant Name _____ DP ID Number Beneficiary Account Number

Enclosures
☐ Client Master List ☐ Delivery Instruction Slip
☐ Transaction Cum Holding Statement

5 NEW INVESTOR INFORMATION (To be filled in Block Letters, please leave one box blank between two words)

NAME OF FIRST/SOLE APPLICANT ☐ Mr. ☐ Ms. ☐ M/s.

PAN/PERN ☐ # KYC Proof Date of Birth/Date of Incorporation D D M M Y Y

Name of Guardian (in case of Minor) / Contact Person (in case of non individual applicant) ☐ Mr. ☐ Ms.

PAN/PERN ☐ # KYC Proof Relationship with Minor/Designation MANDATORY

Mailing Address of First/Sole Applicant (PO Box address is not sufficient)

City State Pin Code

Overseas Address (Mandatory in case of NRI/FII.PO Box address is not sufficient. Investors residing overseas and with PO Box address please provide your Indian address)

Country

First/Sole Applicant Telephone Mobile

Email

Mode of Holding ☐ Single ☐ Joint ☐ Anyone or Survivor (s) (Default option in case of more than one applicant)

Occupation (of first/sole Applicant) ☐ Business ☐ Professional ☐ House Wife ☐ Agriculture ☐ Service ☐ Student ☐ Retired ☐ Others

Status (of first/sole Applicant) ☐ Resident Individual ☐ Sole Proprietorship ☐ Society/Club ☐ Company ☐ NRI Repartriable ☐ Trust ☐ HUF ☐ Partnership Firm ☐ On Behalf of Minor ☐ Bank/Financial Institution ☐ NRI ☐ Non-Repartriable (NRO) ☐ Others

Gross Annual Income ☐ Below 1 Lac ☐ 1 - 5 Lacs ☐ 5 - 10 Lacs ☐ 10 - 25 Lacs ☐ >25 Lacs - 1 Crore ☐ >1 Crore

Net-worth (Mandatory for Non-Individuals) Rs._____ as on (Not older than 1 year) D D M M Y Y

Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/ Promoters/ Karta/ Trustee/ Whole time Directors) ☐ I am PEP ☐ I am Related to PEP ☐ Not Applicable

Non - Individual Investors involved/ providing any of the mentioned services ☐ Foreign Exchange / Money Changer Services ☐ Money Lending / Pawning ☐ Gaming / Gambling / Lottery / Casino Services ☐ None of the Above

NAME OF SECOND APPLICANT ☐ Mr. ☐ Ms. ☐ M/s.

PAN/PERN ☐ # KYC Proof Date of Birth/Date of Incorporation D D M M Y Y

Gross Annual Income ☐ Below 1 Lac ☐ 1 - 5 Lacs ☐ 5 - 10 Lacs ☐ 10 - 25 Lacs ☐ >25 Lacs - 1 Crore ☐ >1 Crore

Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/ Promoters/ Karta/ Trustee/ Whole time Directors) ☐ I am PEP ☐ I am Related to PEP ☐ Not Applicable

NAME OF THIRD APPLICANT ☐ Mr. ☐ Ms. ☐ M/s.

PAN/PERN ☐ # KYC Proof Date of Birth/Date of Incorporation D D M M Y Y

Gross Annual Income ☐ Below 1 Lac ☐ 1 - 5 Lacs ☐ 5 - 10 Lacs ☐ 10 - 25 Lacs ☐ >25 Lacs - 1 Crore ☐ >1 Crore

Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/ Promoters/ Karta/ Trustee/ Whole time Directors) ☐ I am PEP ☐ I am Related to PEP ☐ Not Applicable

Name of 1st Alternative Child (Applicable only for Peerless MF Child Plan) ☐ Mr. ☐ Ms. ☐ M/s.

PAN/PERN ☐ # KYC Proof *Date of Birth (Mandatory) D D M M Y Y

Please refer instructions on page no. 10, point XII

Acknowledgment Slip (To be filled in by the investor) Folio No :

Peerless MUTUAL FUND

Received from Mr./Ms./M/s. _____

An application for Scheme: _____ Plan: _____ Option: _____

Cheque/DD No. : _____ Dated : _____ Amount (Rs.) _____

Drawn on Bank and Branch : _____

Please note : All Purchases are subject to realisation of Cheques/DD.

Collection Centre's Stamp & Receipt Date and Time

Web site www.peerlessmf.co.in
Toll Free : 1800 103 8999
Non Toll Free : 022 61779922
connect@peerlessmf.co.in

NEW INVESTOR INFORMATION (To be filled in Block Letters, please leave one box blank between two words) Cont.

Name of Power of Attorney (POA) ☐ Mr. ☐ Ms. ☐ M/s.

PAN/PERN ☐ # KYC Proof *Date of Birth (Mandatory) D D M M Y Y

6 *BANK ACCOUNT DETAILS (Please attach copy of cancelled cheque) For registering Multiple Bank Accounts please fill up "Registration of Multiple Bank Account" Form

Name of the Bank : Branch:

Account Type (Please ☑ ☐ SB ☐ Current ☐ NRO ☐ NRE ☐ FCNR Account Number :

Branch Address : City: Pin

IFSC Code : MICR Code :

AMC reserves the right to use any mode of payment deemed appropriate. I/We understand that AMC shall not be responsible if transaction through DC/RTGS/NEFT could not be carried out because of incomplete or incorrect information.

7 *INVESTMENT DETAILS I/We would like to invest in the following scheme of Peerless Mutual Fund

Scheme : Peerless Plan

Option ☐ Growth ☐ Dividend ☐ Bonus (Bonus is applicable only for Peerless Short Term Fund) Sub-Option

*In case of any ambiguity / incomplete information, the default plan / option / sub-option will be applicable as per the scheme's Key Information Memorandum, Scheme Information Document & Statement of Additional Information

Dividend Frequency ☐ Daily ☐ Weekly ☐ Monthly ☐ Quarterly

Please see the Plan, Option and Dividend policy details in the SID/KIM before filling in the above details.

8 *PAYMENT DETAILS (In case of DD, please provide us specific declaration)

Mode of Payment ☐ Cash ☐ Cheque ☐ DD ☐ Fund Transfer ☐ Others Please specify

Cheque/DD No. Date D D M M Y Y Y Y

Gross Amount (Rs) DD Charges (Rs) Net Amount (Rs)

Unique No. (In case of cash transaction) Account Type ☐ SB ☐ Current ☐ NRO ☐ NRE ☐ FCNR

Drawn on Bank & Branch

9 SYSTEMATIC INVESTMENT PLAN (SIP) PAYMENT TYPES (Please select any one option)

☐ SIP through Post Dated Cheques (Please fill & submit with this attached form) ☐ SIP through Auto Debit (ECS) (Please fill up enclosed SIP Auto Debit (ECS) Form & submit with this form)

10 NOMINATION DETAILS (Please refer to Page no. 10 instruction VII) In case of existing investor, nomination details mentioned in the below table will replace the existing details registered in the folio

Nomination Required ☐ YES ☐ NO

Applicant Details	Nominee Name	Date of Birth of Minor	Guardian Name (in case Nominee is Minor)	Allocation (%)	Sign of Guardian	Sign of Nominee	Sign of Applicants
1st App Name:							1st App.
2nd App Name:							2nd App.
3rd App Name:							3rd App.

Please note that if you do not furnish any nomination details, it is deemed to be assumed that you do not wish to nominate anyone.

11 HOW DO YOU WISH TO RECEIVE THE DOCUMENT(S) (Please ☑)

I/We wish to receive the following documents via email in lieu of physical document (s) ☐ Annual Reports ☐ Account Statement ☐ Other Statutory Information

I/We wish to receive the Account Statement in (any one) ☐ English (Default option) ☐ Bengali ☐ Malayalam

12 DOCUMENTS ENCLOSED (Please ☑)

☐ Resolution/Authorisation to invest ☐ List of Authorized Signatories with Specimen Signatures ☐ Memorandum & Articles of Association

☐ Trust Deed ☐ Bye-laws ☐ Partnership Deed ☐ Overseas Auditor Certificate ☐ Notarised POA ☐ Copy of cancelled cheque

☐ Copy of PAN Card ☐ KYC ☐ PIO Card ☐ Foreign Inward Remittance Certificate ☐ Special Product Form (SIP / STP / SWP / AEP)

13 *DECLARATION AND SIGNATURES

I/We have read and understood the contents of the Statement of Additional Information and Scheme Information Document of the Scheme (s). I/We hereby apply for units of the scheme as indicated above and agree to abide by the terms and conditions, rules and regulations of the Scheme and to other statutory requirements of SEBI,AMFI, Prevention of Money Laundering Act, 2002 and such other regulations as may be applicable from time to time. I/We confirm to have understood the investment objective, investment pattern and risk factors applicable to Plan/Option under the Scheme (s). I/We agree that in case of my/our investment in the scheme is equal to or more than 25% of the corpus of the scheme, then Peerless Funds Management Co. Ltd. has full right to refund the excess to me/us to bring my/our investment below 25%. I/We have not received nor been induced by any rebate or gifts, directly or indirectly in making this investments. I/We undertake that these investments are on my/our own account and in event Know Your Customer process is not completed by me/us to the satisfaction of the Mutual Fund, I/We hereby authorise the Mutual Fund to redeem the funds invested in the scheme, in favour of the applicant at the applicable NAV prevailing on the date of such redemption and undertake such other action with such funds that may be required by the law. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulations orany other applicable law enacted by the Government of India or any Statutory Authority. I/We hereby declare that the particulars above are correct .I/We hereby, further agree that the Fund can directly credit all the dividend and redemption amount to my bank details given above. The ARN holder has disclosed to me/us all the commission (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. For NRIs : I/We confirm that I am/We are Non-resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels or from my/our Non-resident External/Ordinary Account/FCNFI/NRSR Account.

Sole/1st applicant/Guardian/Authorised Signatory/POA Holder	2nd Applicant/Authorised Signatory/POA Holder	3rd Applicant/Authorised Signatory//POA Holder

All fields marked with * are mandatory

CHECKLIST (Please submit the following documents with application wherever applicable). All documents should be original/true copies certified by a Director/Trustee/Company Secretary/Authorised Signatory/Notary Public.

Documents	Individual	Companies	Societies	Partnership Firm	Investment through POA	Trust	NRI	FIIs
Resolution/Authorisation to invest		✓	✓	✓		✓		✓
List of Authorised Signatories with Specimen Signatures		✓	✓	✓	✓	✓		✓
Memorandum & Articles of Association		✓						
Trust Deed						✓		
Bye-laws			✓					
Partnership Deed				✓				
Notarised POA					✓			
PAN/PERN Proof	✓	✓	✓	✓	✓	✓	✓	✓
KYC in case of Investment of any Amount	✓	✓	✓	✓	✓	✓	✓	✓
Foreign Inward Remittance Certificate							✓	✓
Copy of Cancelled Cheque	✓	✓	✓	✓	✓	✓	✓	✓