

Common Application Form - Lumpsum Cum SIP Application Form (Form 1) Application No.

Distributor Code ARN- ARN-90531	Sub-Distributor Code ARN-	Internal Code for Sub-broker/ Employee	EUIN No. E086029
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We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

First Holder	Second Holder	Third Holder
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TRANSACTION CHARGES (Please ✓ any one of the below) (Refer Instruction No. T)

I am a first time investor in mutual funds (₹ 150 will be deducted) **OR** I am an existing investor in mutual funds (₹ 100 will be deducted)

Applicable for transactions routed through a distributor who has 'opted in' for transaction charges.
Upfront commission shall be paid directly by the investor to the AMFI registered distributor based on the investors' assessment of various factors including service rendered by the distributor.

1. INVESTOR DETAILS (Please refer to the Instruction No. A, C, D, S)

Existing Folio Number _____ / _____ *Date of Birth

D	D	M	M	Y	Y
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 Existing Investor may not fill in Section 4, 5 & 6. *Mandatory for Minor

FIRST HOLDER DETAILS (please ✓) Individual Non Individual (please refer instruction D for UBO)

Name	PAN/PERN (mandatory)	PAN/PERN Proof enclosed	KYC Compliance
		<input type="checkbox"/>	<input checked="" type="checkbox"/>

You must fill in Mobile No. _____ Email ID _____

Status

Individual (Indian National) PIO Minor (through Guardian) HUF Fil / Sub-account Sole-proprietor Partnership Firm

LLP Company (other than Bank/FI) Bank Financial Institution Other Body Corporate Government Body

Charitable / Religious / Non-profit organisation Educational Institution Mutual Fund PF Trust Gratuity Fund NPS Trust

Pension / Retirement / Superannuation Fund Private Trust Co-op. Society Society / AOP/ BOI Other (Please specify)

Note for non-individual investor: Please attach the mandatory Ultimate Beneficial Ownership (UBO) Declaration Form available on our website, along with the application form.

Residential / Tax Status Resident Non-resident Repatriable (NRE) Non-resident Non-repatriable (NRO)

DETAILS UNDER FATCA / FOREIGN TAX LAWS

Citizenship/ Nationality _____ Country of birth/ Incorporation/ Formation _____

Country of residence _____ Are you a resident in any country other than India for tax purposes. Yes No

If yes, please indicate all countries in which you are resident for tax purposes and the associated Foreign Tax Identification Number below.

Country of Tax Residency*	Tax Identification Number

*To include USA where the investor is a US Citizen or Greencard holder. Please provide Social Securities Number if Tax ID number is not issued.

For Non Individual Investor, Please tick the relevant box below, even if Country of Tax Residency is India *

Form W8 BEN-E / Specified declaration (Form available on our website)

Unable to Provide [IDFC Mutual Fund will contact you in due course to confirm your FATCA Status]

*Where no box is ticked, the second statement will be taken as the default implying that the applicant/investor currently is unable to confirm FATCA status and will confirm the same in future.

ADDITIONAL KYC INFORMATION

Gross Annual Income (Rs.) [Please tick(✓)] Below 1 Lacs 1 Lacs - 5 Lacs 5 Lacs - 10 Lacs 10 Lacs - 25 Lacs 25 Lacs - 1 Crore

OR 1 Crore - 5 Crore 5 Crore - 10 Crore above 10 Crore

Net-worth (Mandatory for Non-Individuals) Rs. _____ as on

D	D	M	M	Y	Y
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 (Not older than 1 year)

Occupation (please tick any one and give brief details): Private Sector Service Public Sector Service Government Service Business Professional

Agriculturist Retired Housewife Student Others _____ Please specify

In case of business / profession, indicate the details (including nature of goods/ services dealt in) _____

Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/Promoters/Karta/Trustee /Whole time Directors)

I am PEP I am a relative / associate of PEP None of these (for definition of PEP refer instruction X)

Non-Individual Investors involved/ providing any of the mentioned services

Foreign Exchange / Money Changer Services Gaming/Gambling/Lottery/Casino Services Money Lending / Pawning None of these

IDFC MUTUAL FUND - ACKNOWLEDGMENT SLIP (To be filled in by the investor)

Application No.

Received, subject to realisation, verification and conditions, an application for purchase of Units as mentioned in the application form.

From _____

Instrument No.	Dated	Amount (Rs.)	Scheme

Stamp & Signature

SECOND HOLDER DETAILS

Name		PAN/PERN (mandatory)	PAN/PERN Proof enclosed	KYC Compliance
			<input type="checkbox"/>	<input type="checkbox"/>

DETAILS UNDER FATCA / FOREIGN TAX LAWS

Citizenship/ Nationality _____ Country of birth/ Incorporation/ Formation _____
 Country of residence _____ Are you a resident in any country other than India for tax purposes. Yes No
 If yes, please indicate all countries in which you are resident for tax purposes and the associated Foreign Tax Identification Number below.

Country of Tax Residency*	Tax Identification Number

*To include USA where the investor is a US Citizen or Greencard holder. Please provide Social Securities Number if Tax ID number is not issued.

ADDITIONAL KYC INFORMATION

Gross Annual Income (Rs.) [Please tick(✓)] Below 1 Lacs 1 Lacs - 5 Lacs 5 Lacs - 10 Lacs 10 Lacs - 25 Lacs 25 Lacs - 1 Crore
OR 1 Crore - 5 Crore 5 Crore - 10 Crore above 10 Crore

Net-worth (Mandatory for Non-Individuals) Rs. _____ as on DDMMYYYY (Not older than 1 year)

Occupation (please tick any one and give brief details): Private Sector Service Public Sector Service Government Service Business Professional
 Agriculturist Retired Housewife Student Others _____ Please specify _____

In case of business / profession, indicate the details (including nature of goods/ services dealt in) _____

Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/Promoters/Karta/Trustee /Whole time Directors)

I am PEP I am a relative / associate of PEP None of these (for definition of PEP refer instruction X)

THIRD HOLDER DETAILS

Name		PAN/PERN (mandatory)	PAN/PERN Proof enclosed	KYC Compliance
			<input type="checkbox"/>	<input type="checkbox"/>

DETAILS UNDER FATCA / FOREIGN TAX LAWS

Citizenship/ Nationality _____ Country of birth/ Incorporation/ Formation _____
 Country of residence _____ Are you a resident in any country other than India for tax purposes. Yes No
 If yes, please indicate all countries in which you are resident for tax purposes and the associated Foreign Tax Identification Number below.

Country of Tax Residency*	Tax Identification Number

*To include USA where the investor is a US Citizen or Greencard holder. Please provide Social Securities Number if Tax ID number is not issued.

ADDITIONAL KYC INFORMATION

Gross Annual Income (Rs.) [Please tick(✓)] Below 1 Lacs 1 Lacs - 5 Lacs 5 Lacs - 10 Lacs 10 Lacs - 25 Lacs 25 Lacs - 1 Crore
OR 1 Crore - 5 Crore 5 Crore - 10 Crore above 10 Crore

Net-worth (Mandatory for Non-Individuals) Rs. _____ as on DDMMYYYY (Not older than 1 year)

Occupation (please tick any one and give brief details): Private Sector Service Public Sector Service Government Service Business Professional
 Agriculturist Retired Housewife Student Others _____ Please specify _____

In case of business / profession, indicate the details (including nature of goods/ services dealt in) _____

Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/Promoters/Karta/Trustee /Whole time Directors)

I am PEP I am a relative / associate of PEP None of these (for definition of PEP refer instruction X)

Guardian/POA/Proprietor

Name		PAN/PERN (mandatory)	PAN/PERN Proof enclosed	KYC Compliance
			<input type="checkbox"/>	<input type="checkbox"/>

DETAILS UNDER FATCA / FOREIGN TAX LAWS

Citizenship/ Nationality _____ Country of birth/ Incorporation/ Formation _____
 Country of residence _____ Are you a resident in any country other than India for tax purposes. Yes No
 If yes, please indicate all countries in which you are resident for tax purposes and the associated Foreign Tax Identification Number below.

Country of Tax Residency*	Tax Identification Number

*To include USA where the investor is a US Citizen or Greencard holder. Please provide Social Securities Number if Tax ID number is not issued.

Toll free 1-800-2-666888

Available between 8.00 am to 7.00 pm on business days only.

Please note our investor service email id

investormf@idfc.com

www.idfc.com

